#### PATENT COOPERATION TREATY

### **PCT**

| REC'D | 28               |                            | 2005  |
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# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

| Applicantic  |   | cle 36 and Rule 70)  | ·   |  |
|--|---|--|---|--|
| Applicant's or agent's file reference P6282PC00  | FOR FURTHER   | ACTION S. F.   |   |  |
| International application No.  |   |  | PCT/IPEA/416  |  |
| PCT/SE 2004/000847   | International filing of   | International filing date (day/month/year) 02-06-2004  | Priority date (day/month/year)  |  |
| International Patent Classic   | 02-06-2004  |  | 10-06-2003  |  |
| International Patent Classification (I<br>HO4N 7/50  | er C) or national classification  | on and IPC   |   |  |
| Applicant<br>Strömberg, Jan-Olo  | v et al   |  |   |  |
|  |   |  |   |  |
| <ol> <li>This report is the internation<br/>Authority under Article 35 a</li> <li>This REPORT consists of a</li> </ol> | 1   | 6  |   |  |
| 3. This report is also accompan  | ied by ANNEVEO  | eets, including this cover   | r sheet,  |  |
|  |   |  | •   |  |
| (sent to the appl  | icant and to the Internation  | al Bureau) a total of 4  | sheets, as follows:   |  |
| and/or si<br>Adminis   | neets containing rectification trative Instructions).   | or drawings which have<br>as authorized by this Aut  | been amended and are the basis of this report<br>chority (see Rule 70.16 and Section 607 of the                           |  |
| I I sheets w   | hich supercede continues  |  | ty considers contain an amendment that goes, as indicated in item 4 of Box No. I and the                                  |  |
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| readable form on<br>Administrative I   | , containly, as indicated in the Supplestructions).   | or (indicate type and maining a sequence listing a sequence listing a<br>contain Box Relating to | umber of electronic carrier(s))<br>and/or tables related thereto, in computer<br>Sequence Listing (see Section 802 of the |  |
| <ol> <li>This report contains indication</li> </ol>  | ns relating to the following i  | tems:  |   |  |
| Box No. 1 Bas  | is of the report  |  |   |  |
|  | ority   |  |   |  |
| Box No. III Nor  | n-establishment of opinion v  | vith regard to novelty in  | ventive step and industrial applicability   |  |
| Box No. IV Lac   | k of unity of invention   | and to noverty, in   | ventive step and industrial applicability   |  |
| Box No. V Rea  | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |  |   |  |
| Box No. VI Cert  | ain documents cited   | such supporting such   | statement   |  |
| Box No. VII Certain defects in the international application   |   |  |   |  |
|  | ain observations on the inter   |  |   |  |
| ate of submission of the demand  |   | I Date of  |   |  |
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| ame and mailing address of the IPEA/SE   |   | 14-06-2005   |   |  |
| tent- och registreringsverke<br>k 5055<br>102 42  STOCKHOLM  | et  | Authorized officer   |   |  |
| simile No. +46 8 667 72 00   |   | Jesper Bergs   | strand/MN   |  |
| rm PCT/IPEA/409 (cover sheet) (Jan   | 11957 2004)   | Telephone No. +46  | 3 782 25 00   |  |

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/SE 2004/000847

| Box No.        | Basis of the report  | FC1/SE 2004/000847  |
|----------------|--|---|
| 1. With        | regard to the language, this report is based on the international application wise indicated under this item.  |   |
| othe           | rwise indicated under this item.   | in the language in which it was filed, unles  |
|                | This report is based on a translation from the original language into the follow which is the language of a translation furnished for the purposes of:   | ving language,  |
|                | international search (under Rules 12.3 and 23.1(b))  |   |
|                | publication of the international application (under Rule 12.4)   |   |
|                | international preliminary examination (under Rules 55.2 and/or 55.3)   |   |
| 2. With furni. | regard to the elements of the international application, this report is base shed to the receiving Office in response to an invitation under Article 14 are retree not annexed to this report):  |   |
|                | the international application as originally filed/furnished  |   |
| $\boxtimes$    | the description:   |   |
|                | pages 1-26   |   |
|                | pages* received by this Authority  | as originally filed/furnished   |
|                | received by this Authority   | y on  |
| $\bowtie$      | the claims:  |   |
|                | pages*   | as originally filed/furnished   |
|                |  | gether with any statement) under Article 19   |
|                |  |   |
| $\boxtimes$    | pages* received by this Authority the drawings:  | on  |
|                |  |   |
|                |  | as originally filed/furnished   |
|                | received by this Authority   | r on  |
|                | TOTAL OF THE PROPERTY OF THE P | 7 070   |
| 3.             | a sequence listing and/or any related table(s) – see Supplemental Box Relating  The amendments have resulted in the cancellation of:   | to Sequence Listing.  |
| اــــــا<br>ا  | the cancellation of:   |   |
|                | the description, pages   |   |
|                | the claims, Nos.   |   |
|                | the drawings, sheets/figs  |   |
|                | the sequence listing (specify):  |   |
|                | any table(s) related to the sequence listing (specify):  |   |
| 4.             | This report has been established as if (some of) the amendments annexed to made, since they have been considered to go beyond the disclosure as filed, as 70.2(c)).  | this report and listed below had not been s indicated in the Supplemental Box (Rule |
|                |  |   |
|                | the description, pages   |   |
|                | the claims, Nos.   |   |
|                | the drawings, sheets/figs  |   |
|                | and sequence fishing (specify):  |   |
|                | any table(s) related to the sequence listing (specify):  |   |
|                | applies, some or all of those sheets may be marked "superseded."   |   |
| rm PCT/IPI     | EA/409 (Box No. I) (January 2004)  |   |

International application No.

PCT/SE 2004/000847

NO

| Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; |                           |                  |      |        |  |
|--|---------------------------|------------------|------|--------|--|
| I. Statemen  |                           |                  |      |        |  |
| Nove   | elty (N)                  | Claims<br>Claims | 1-15 | YES NO |  |
| Inver  | ntive step (IS)           | Claims<br>Claims | 1-15 | YES NO |  |
| Indus  | strial applicability (IA) | Claims<br>Claims | 1-15 | YES    |  |

#### 2. Citations and explanations (Rule 70.7)

Documents cited in the International Search Report:

D1: EP 0888013 A D2: EP 0921685 A D3: EP 0399487 A

The cited documents represent the general state of the art. The invention defined in claims 1-15 is not disclosed by any of these documents.

The cited prior art does not give any indication that would lead a person skilled in the art to the claimed method for compressing data of an image, which image is part of a sequence of images, comprising the steps of: transforming data of a frame in said sequence into a first sequence of real numbers by using a wavelet transform method; quantizing said first sequence of real numbers of said frame to obtain a first sequence of integers; quantizing said first integers of said frame using a predicted sequence of integers representing said first sequence of integers to produce a second sequence of integers where, for each coefficient in said second sequence, a relation between an integer in said first sequence of integers of said frame with a corresponding integer in a reference image and the corresponding integer in the predicted sequence based on an evaluation value for said relation is selected, wherein said predicted sequence is based on at least one previous frame in said sequence of images; and encoding said integers of said second sequence of said frame into a stream of bits representing the compressed sequence of integers of said frame.

Therefore, the claimed invention is not obvious to a person skilled in the art.

Accordingly, the invention defined in claims 1-15 is novel and is considered to involve an inventive step. The invention is industrially applicable.



#### New claims

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1. Method for compressing data of an image, which image is part of a sequence of images, comprising the steps of:

transforming data of a frame in said sequence into a first sequence of real numbers by using an wavelet transform method;

quantizing said first sequence of real numbers of said frame to obtain a first sequence of integers;

quantizing said first sequence of integers of said frame using a predicted sequence of integers representing said first sequence of integers to produce a second sequence of integers where, for each coefficient in said second sequence, a relation between an integer in said first sequence of integers of said frame with a corresponding integer in a reference image and the corresponding integer in the predicted sequence based on a evaluation value for said relation is selected, wherein said predicted sequence is based on at least one previous frame in said sequence of images; and

encoding said integers of said second sequence of said frame into a stream of bits representing the compressed sequence of integers of said frame.

2. Method according to claim 1, wherein the step of selecting comprises the step of

for each coefficient of said second sequence of integers, comparing a first relation between the integer of said first sequence of integers of said frame and the corresponding integer of a reference frame and a second relation between said integer and the corresponding integer of the predicted sequence; and

determining the evaluation value for each relation based on respective relation in encoded form.

3. Method according to claim 2, wherein the step of determining comprises the step of

determining the absolute value of respective relation, wherein the evaluation value for each relation is set to the corresponding absolute value.

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- 4. Method according to claim 3, wherein the step of selecting comprises the step of selecting the relation having the lowest absolute value.
- 5. Method according to claim 1 or 2, wherein the step of selecting comprises the step of:

selecting said relation according to

c'=c-r if 
$$p=r$$
 or  $\frac{c-r}{p-r} < \frac{1}{2}$ , c'=c-p otherwise

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, where c' is an integer of the second sequence, p is the corresponding integer of the predicted sequence, c is the corresponding integer of the first sequence of a current frame, and r is the corresponding integer of a reference frame.

6. Method according to claim 3, wherein the step of quantizing said first sequence of integers of said frame further comprises the step of: if

$$p \neq r$$
 and  $-\frac{1}{2} \leq \frac{c-r}{p-r} < \frac{3}{2}$ ,

- associating a control bit identifying the selected relation.
  - 7. Method according to anyone of preceding claims, further comprising the step of:

storing said stream of bits as a compressed representation of said sequence of said frame.

- 8. Method according to any one of the preceding claims, further comprising decompressing said compressed sequence by inverting the steps of transforming, quantizing said first sequence of real numbers, quantizing said first sequence of integers of said frame, and decoding in reverse order.
- 9. Method according to claim 8, wherein the step of inverting the step of quantizing said first sequence of integers comprises the steps of:

reconstructing a sequence of integers of a current frame according

35 to

$$c = c' + r$$
 if  $p = r$  or  $\frac{c - r}{p - r} < \frac{1}{2}$ , otherwise

- 5 , where c' is an integer of the compressed sequence, r is the corresponding integer of the reference frame, and c is the corresponding integer of the reconstructed sequence representing the first sequence of the current frame
  - 10. Method according to claim 9, wherein the step of reconstructing comprises the step of

if

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$$p \neq r \text{ and } -\frac{1}{2} \leq \frac{c-r}{p-r} < \frac{3}{2},$$

- 15 where p an integer of the predicted sequence and c is the corresponding integer of the current frame, using the associated control bit to identify the relation between an integer, c', of the compressed sequence, the corresponding integer, r, of the reference frame, and the corresponding integer, c, of the reconstructed sequence representing the first sequence of 20 the current frame.
  - 11. Method according to claim 9 or 10, further comprising the step of storing the reconstructed sequence of integers.
- 12. Method according to any one of preceding claims, wherein the predicted 25 sequence is a simulated reconstructed sequence of a previous frame.
  - 13. System for compressing and decompressing data of an image, which image is part of a sequence of images, comprising

a storage device for storing data;

transform means arranged to transform a frame of data in said sequence into a first sequence of real numbers by using an wavelet transform method;

compression processing means, comprising

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quantization means arranged to quantize said first sequence of real numbers to produce a first sequence of integers;

adaptive quantization means arranged to quantize said first sequence of integers of said frame using a predicted sequence representing said first sequence of integers to produce a second sequence of integers where, for each coefficient in said second sequence, a relation between an integer in said first sequence of integers of said frame with a corresponding integer in a reference image and the corresponding integer in the predicted sequence based on a evaluation value for said relation is selected, wherein said predicted sequence is based on at least one previous frame in said sequence of images; and

encoding means arranged to encode said integers of said second sequence of said frame into a stream of bits representing the compressed sequence of integers of said frame.

14. System according to claim 13, further comprising reconstruction means comprising

decoding means arranged to decode a bit stream representing a compressed sequence of integers into a third sequence of integers;

inverse adaptive quantization means arranged to inversely quantize said fourth sequence of integers to produce a reconstructed first sequence of integers by using the predicted sequence of integers representing said first sequence of integers: and

inverse quantization means arranged to inversely quantize said reconstructed first sequence of integers to produce a second sequence of integers second sequence of real numbers; and

inverse transform means arranged to inversely transform said sequence of real number to a reconstructed frame of data.

15. Computer readable medium comprising instructions for bringing a computer to perform the method according to any one of the claims 1-12.

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